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To: Jim Thomson
Company: Commissioner Of Patents And Trademarks - PCT Div.
Fax Number: 1-703-308-6459
Phone Number: 1-703-308-6457
Application No.: 09/913,695

Total Pages
Including Cover: 8

From: Elaine C. VonSpreckelsen, Secretary to Carl A. Forest
Sender's Direct Line: 303-379-1111

Date: February 25, 2002

Client Number: 13189.136

Comments:ANCHORAGE
BOULDER
DALLAS
DENVER
NORTHERN VIRGINIA
WASHINGTON, D.C.**Serial No.:** 09/913,695 **Group No.** Unknown
Filed: 16-Aug-2001 **Examiner:** Thomson, Jim

Pursuant to our conversation earlier this afternoon, attached please find the following documents to be entered in the above application

1. Copy of postcard showing mailing date of 19-Dec-2001 (stamped postcard not yet received from USPTO)
2. Copy of Transmittal Form PTO/SB/21 (1 page)
3. Copy of Supplement To Statement Of Facts (5 pages)

If you need anything further, please do not hesitate to call me. Thank you for your assistance in this matter.

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Receipt acknowledged by USPTO on the date stamped below:

In Re: Patent application of: Ramp et al

Serial No.: 09/913,695

Date filed: 16-Aug-2001

Title: Method And Device For Generating An Encrypted User Data Stream And
Method And Device For Playing Back An Encrypted User Data Stream

- | | | |
|---|---------------|---|
| <input type="checkbox"/> Provisional Application for Patent Cover Sheet | _____ page(s) | <input type="checkbox"/> Drawings (_____ sheets) |
| <input type="checkbox"/> Specification | _____ page(s) | No. FIGS _____ |
| <input type="checkbox"/> Response to Missing Parts | _____ page(s) | <input type="checkbox"/> formal / <input type="checkbox"/> informal |
| <input type="checkbox"/> Combined Declaration and POA | _____ page(s) | <input type="checkbox"/> IDS _____ page(s) |
| <input type="checkbox"/> Recordation Form Cover Sheet | _____ page(s) | <input type="checkbox"/> _____ cited US ref. |
| <input type="checkbox"/> Assignment | _____ page(s) | <input type="checkbox"/> _____ cited foreign ref. |
| <input checked="" type="checkbox"/> Transmittal | _____ page(s) | <input type="checkbox"/> _____ cited other ref. |
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| <input type="checkbox"/> Amendment | _____ page(s) | |
| <input type="checkbox"/> Issue Fee Transmittal | _____ page(s) | |

Payment: ☐ Check No. _____ / ☐ Deposit Acct / amount of \$ _____

Other: Supplement To Statement Of Facts (5 pages)

Atty: CAF / rev / ☒ 1st Class Mail ☐ Express Mail Label No. _____
 Atty Docket No. 13189.136 Date Mailed: 19-Dec-2001

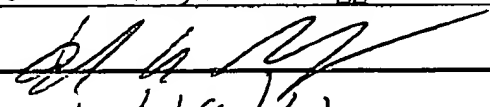
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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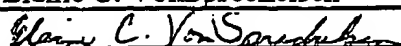
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/913,695
	Filing Date	August 16, 2001
	First Named Inventor	Niels Rump
	Group Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages In This Submission	5	Attorney Docket Number 13189.136

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Supplement To Statement Of Facts filed 11/09/2001
Remarks: It is believed that the attached Supplement To Statement Of Facts will clarify the actions undertaken in the attempt to locate the inventor Niels Rump.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Carl A. Forest; Patton Boggs LLP
Signature	
Date	12/19/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 12/19/2001		
Typed or printed name	Elaine C. VonSpreckelsen	
Signature		Date December 19, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Practitioner's Docket No. 13189.136

CHAPTER II**IN THE UNITED STATES ELECTED OFFICE (EO/US)**

PCT/EP99/09977	15 December 1999 (15.12.99)	16 February 1999 (16.02.99)
International Application Number	International Filing Date	International Earliest Priority Date

U.S. Application Serial No.: 09/913,695

Filing Date: 16 Aug 2001

TITLE OF INVENTION: METHOD AND DEVICE FOR GENERATING AN ENCRYPTED
USER DATA STREAM AND METHOD AND DEVICE FOR
PLAYING BACK AN ENCRYPTED USER DATA STREAM

APPLICANT(S): Rump, Niels; Koller, Juergen and Brandenburg, Karlheinz

SUPPLEMENT TO STATEMENT OF FACTS

Following are the details of the efforts to reach inventor Niels Rump:

1. A letter was mailed to Niels Rump at his last known address of Brückenstrasse 13, D-91056 Erlangen on June 27, 2001, which included a Combined Declaration And Power Of Attorney and Assignment Of Invention. A copy of the letter is attached. The package was returned by mail stating "Address Unknown". A copy the labeled envelope is also attached.
2. On July 2, 2001, a letter was mailed addressed to the family of Mr. Rump at the same address. This letter was returned by mail also stating "Address Unknown". Copies of the letter and the labeled envelope are attached.
3. A request has been submitted with the residence registration office in Erlangen in an attempt to locate Mr. Niels Rump. The request revealed nothing else as the last known address in Erlangen cited above.
4. Finally, a request was submitted with the telephone inquiry office which revealed that Mr. Niels Rump was not registered in Erlangen.

SCHOPPE, ZIMMERMANN, STÖCKELER & ZINKLER

Patentanwälte · Postfach 710867 · 81458 München

Herrn

Niels Rump

Brückenstr. 13

D-91056 Erlangen

PATENTANWÄLTE

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27. Juni 2001

Ihre internationale Patentanmeldung PCT/EP99/09977

Regionale Phase 99964581.5 vor dem EPA

Titel: Verfahren und Vorrichtung zum Erzeugen eines ...

FhG-Zeichen: 99/35054-IIS-A - Unser Zeichen: FH991204PEP/dg

Sehr geehrter Herr Rump,


am 09. Februar 2001 hat mich Herr Herre schriftlich beauftragt die regionale Phase für folgende Staaten einzuleiten:

Deutschland, Österreich, Schweiz/Liechtenstein und Großbritannien.

Bezüglich der zur Einleitung der regionalen Phase beim EPA eingereichten Unterlagen möchte ich Sie darauf hinweisen, daß diese auf der Grundlage der ursprünglich eingereichten Unterlagen basieren. Es wurden lediglich noch formale Änderungen eingereicht.

Eine Kopie der Anmeldung liegt für Ihre Akte bei.

Mit freundlichen Grüßen


Fritz Schoppe

Anlage

Eingereichte Unterlagen

SCHOPPE, ZIMMERMANN, STÖCKELER & ZINKLER

PATENTANWÄLTE

European Patent Attorneys
European Trademark Attorneys

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Familie RUMP

Brückenstrasse 13

D-91056 Erlangen

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Telefax/Facsimile 089/7902215
Telefax/Facsimile 089/74996977

e-mail: szsz_iplaw@t-online.de

02. Juli 2001

Unsere Zeichen: FH991204PUS und FH991204PEP/dg

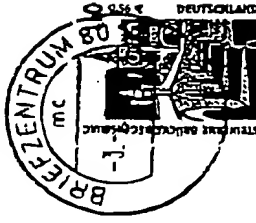
Sehr geehrte Familie Rump,

da wir die an Herrn Niels Rump übersandten Unterlagen
zurückgefordert haben, möchten wir Sie bitten, uns seine neue
Adresse bzw. Telefon- oder Faxnummer mitzuteilen.

Ich bedanke mich für Ihre Hilfe.

Mit freundlichen Grüßen


Fritz Schoppe



Münchner
pern-
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24.6.-31.7.
2001
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8703 308 6459

*** RX REPORT ***

RECEPTION OK

TX/RX NO	8242
CONNECTION TEL	303 449 0814
CONNECTION ID	
START TIME	02/25(MO)15:39
USAGE TIME	02'21"
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RESULT	OK